

AF/2811  
JFW

PTO/SB/21 (08-03)

Approved for use through 07/31/06. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>Application Number</b>	09/164,216		
	<b>Filing Date</b>	September 30, 1998	
	<b>First Named Inventor</b>	Ronald Pasqualini	
	<b>Group Art Unit</b>	2811	
<b>Examiner Name</b>	Nadav, Ori		
<b>Total Number of Pages in This Submission</b>	6	<b>Attorney Docket Number</b>	100-11504 (P03921-C4)

### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form (in duplicate)

☒ Fee Attached (check for \$340)

☐ Amendment/Response

☐ After Final (Response)

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to  
Group

☒ Appeal Communication to Board of  
Appeals and Interferences  
(in duplicate)

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Inquiry

☒ Other Enclosure(s)  
(please identify below):

**Return Receipt Postcard  
Certificate of Mailing**

Remarks

**Please charge any necessary fees or credit overpayment to  
Deposit Account No. 502305. A duplicate copy of this  
transmittal is attached for this purpose.**

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<b>Firm or Individual name</b>	Mark C. Pickering, Reg. No. 36,239
<b>Signature</b>	
<b>Date</b>	November 15, 2004

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: November 15, 2004

**Typed or printed name** Robin L. King

**Signature**

**Date**

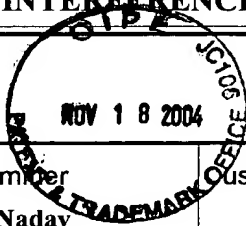
November 15, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**NOTICE OF APPEAL FROM THE PRIMARY EXAMINER TO  
THE BOARD OF PATENT APPEALS AND INTERFERENCES (Large Entity)**

Docket No.  
100-11504

In Re Application Of: **Ronald Pasqualini**



Application No.  
09/164,216

Filing Date  
09-30-98

Examiner  
O. Nadav

Customer No.  
33402

Group Art Unit  
2811

Confirmation No.

Invention:

**ESD PROTECTION CIRCUIT UTILIZING FLOATING LATERAL CLAMP DIODES**

COMMISSIONER FOR PATENTS:

Applicant(s) hereby appeal(s) to the Board of Patent Appeals and Interferences from the decision of the Primary Examiner dated **09-01-04** finally rejecting Claim(s) **15, 19, 38, 39, 45-57, 60-62, 65, 66 and 69-72.**

The fee for this Notice of Appeal is: **\$340.00**

- ☒ A check in the amount of the fee is enclosed.
- ☐ The Director has already been authorized to charge fees in this application to a Deposit Account.
- ☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **33402**
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

  
Signature

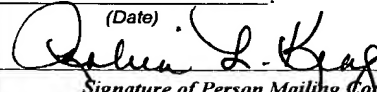
Dated: **November 15, 2004**

CC:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

**11-15-04**

(Date)



Signature of Person Mailing Correspondence

**Robin L. King**

Typed or Printed Name of Person Mailing Correspondence

**FEE TRANSMITTAL****For FY 2005**

Patent Fees are subject to annual revision.

TO FEE AMOUNT OF PAYMENT \$340.00

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge any fees or credit any overpayment under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 502305

**LAW OFFICES OF MARK C. PICKERING**☐ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ **Payment Enclosed:**

☒ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE****LARGE ENTITY****SMALL ENTITY**

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	790	2001	395	Utility	
1002	350	2002	175	Design	
1003	550	2003	275	Plant	
1004	790	2004	395	Reissue	
1005	160	2005	80	Provisional	
<b>SUBTOTAL (1)</b>					<b>0</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

		Extra Claims	Fee from below	Fee Paid
Total Claims	* - 20 **	= 0	x 18	= \$ 0
Independent	* - 3	= 0	x 88	= \$ 0
Multiple Dep.			*	= \$ 0

\*\* or number previously paid, if greater; for Reissues, see below:

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1202	18	2202	9	Claim in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple dependent claim, if not paid
1204	88	2204	44	** Reissue ind. claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** \$0**Complete if Known**

Application Number	09/164,216
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First Named Inventor	Ronald Pasqualini
Examiner Name	Nadav, Ori
Group Art Unit	2811
Attorney Document No.	100-11504 (P03921-C4)

**FEE CALCULATION (continued)****3. Additional Fees****Large Entity****Small Entity**

Fee Code Fee

1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2520	1812	2520	For filing a request for ex parte reexamination	
1804	920	1804	920	Requesting publication of SIR prior to Examiner action	
1805	1840	1805	1840	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1530	2254	765	Extension for reply within fourth month	
1255	2080	2255	1040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	340
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1510	1451	1510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive-unavoidable	
1453	1370	2453	685	Petition to revive-unintentional	
1501	1370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	790	2810	395	For each additional invention be examined (37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)** \$340**SUBMITTED BY**

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Customer No. 33402

Date: 11-15-04

By: Mark C. Pickering

Mark C. Pickering, Reg. No. 36,239